

1714 \$

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 01064
In Re Application Of: Lev Bromberg			
Serial No. 10/056,777	Filing Date January 24, 2002	Examiner Callie E. Shosho	Group Art Unit 1714
Title: INKJET INK SYSTEMS COMPRISING A GELLING AGENT			
Address to: Commissioner for Patents P.O. Box 1460 Alexandria, VA 22313-1460			
37 CFR 1.97(b)			
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) and 1.97(c))

Docket No.

01064

In Re Application

Lev Bromberg

Serial No.

10/056,777

Filing Date

January 24, 2002

Examiner

Callie E. Shosho

Group Art Unit

1714

INKJET INK SYSTEMS COMPRISING A GELLING AGENT**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 03-0060 as described below.
- ☒ Charge the amount of \$180.00
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

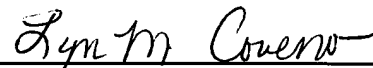
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

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Signature of Person Mailing Correspondence

Lyn M. Covenor

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: February 27, 2004


Signature

Michelle B. Lando
Reg. No. 33,941
CABOT CORPORATION
157 Concord Road
Billerica, MA 01821-7001
978-670-7030

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 01064
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Title: INKJET INK SYSTEMS COMPRISING A GELLING AGENT			
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> <p>37 CFR 1.97(b)</p> </div> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p>37 CFR 1.97(c)</p> </div> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-top: 10px;">OR</p> <p><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div>			

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Lev Bromberg

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Filing Date
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Examiner
Callie E. Shosho

Group Art Unit
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Lyn M. Coven
Signature of Person Mailing Correspondence

Lyn M. Coven

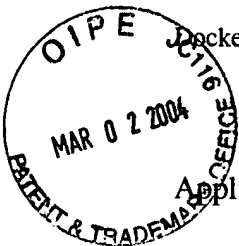
Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: February 27, 2004

Michelle B. Lando
Signature
Michelle B. Lando
Reg. No. 33,941
CABOT CORPORATION
157 Concord Road
Billerica, MA 01821-7001
978-670-7030

CC:



Docket No. 01064

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lev Bromberg

Group Art Unit: 1714

Application No.: 10/056,777

Examiner: Callie E. Shosho

Filed: January 24, 2002

For: **INKJET INK SYSTEMS COMPRISING A GELLING AGENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

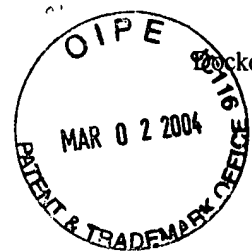
Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

Section 1. Preliminary Statements

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the patentability of the above-identified application and in respect of which there may be a duty to disclose. These references also include a copy of the International Search Report for the corresponding International Application No. PCT/US03/02350, as well as the cited references not already submitted.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.



The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,

Date: February 27, 2004

Michelle B. Lando
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157 Concord Road
Billerica, MA 01821-7001
(978) 670-7030

**FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**
ATTY DOCKET NO.**SERIAL NO.**

01064

10/056,777

APPLICANT

Lev Bromberg

FILING DATE

January 24, 2002

GROUP

1714

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use Several Sheets if necessary)

(37 CFR 1.98(b))

U.S. PATENTS AND PUBLICATIONS

Examiner Initials	*	Patent or Publication No.	Date	Patentee	Class	Subclass	Filing Date If Appropriate
		3,479,300	11/69	Rivin et al.	252	430	
		4,014,844	3/77	Vidal et al.	260	31.2R	
		4,946,509	8/90	Schwartz et al.	106	496	
		5,281,261	1/94	Lin et al.	106	20R	
		5,418,277	5/95	Ma et al.	524	520	
		5,545,504	8/96	Keoshkerian et al.	430	137	
		5,554,739	9/96	Belmont	534	885	
		5,630,868	5/97	Belmont et al.	106	31.75	
		5,698,016	12/97	Adams et al.	106	316	
		5,713,988	2/98	Belmont et al.	106	31.6	
		5,714,993	2/98	Keoshkerian et al.	347	95	
		5,837,045	11/98	Johnson et al.	106	31.85	
		5,851,280	12/98	Belmont et al.	106	472	
		5,885,335	3/99	Adams et al.	106	316	
		5,895,522	4/99	Belmont et al.	106	31.6	
		5,900,029	5/99	Belmont et al.	8	550	
		5,914,806	6/99	Gordon II et al.	359	296	
		5,922,118	7/99	Johnson et al.	106	31.6	
		5,952,429	9/99	Ikeda et al.	525	326.1	
		5,964,935	10/99	Chen et al.	106	401	
		5,968,243	10/99	Belmont et al.	106	31.65	
		5,976,233	11/99	Osumi et al.	106	31.75	
		6,042,643	3/00	Belmont et al.	106	472	
		6,068,688	5/00	Whitehouse et al.	106	31.65	
		6,103,380	8/00	Devonport	428	403	
		6,110,994	8/00	Cooke et al.	523	215	
		6,150,433	11/00	Tsang et al.	523	160	
		6,221,143	4/01	Palumbo	106	31.6	
		6,221,932	4/01	Moffatt et al.	523	160	
		6,235,829	5/01	Kwan	524	495	
		6,281,267	8/01	Parazak	523	160	
		2001-0036994	11/01	Bergemann et al.	524	495	
		6,472,471	10/02	Cooke et al.	525	165	
		6,458,458	10/02	Cooke et al.	428	407	

EXAMINER**Date Considered**

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not considered. Include copy of this form with next communication.

* A copy of this reference is not provided with this response as it was previously submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120.



FORM PTO-1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

SERIAL NO.

01064

10/056,777

APPLICANT

Lev Bromberg

FILING DATE

GROUP

January 24, 2002

1714

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Use Several Sheets if necessary)

(37 CFR 1.98(b))

FOREIGN PATENTS, DOCUMENTS, OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
		57-21466	2/82	JP	C09D	11/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		6-128517	5/94	JP	C09D	11/02	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		0 677 556 A2	10/95	EPO	C09B	67/08	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		0 688 836 A2	12/95	EPO	C09D	11/02	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO97/47697	12/97	PCT	C09D	11/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		0 839 883 A2	5/98	EPO	C09D	11/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		2 330 842	5/99	GB	C09B	67/54	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO99/23174	5/99	PCT	C09C	1/56	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO99/31175	6/99	PCT	C08K	9/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO99/38921	8/99	PCT	C09C	1/56	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO99/51690	10/99	PCT	C09B	69/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO99/63007	12/99	PCT	C09C	1/56	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO00/05313	2/00	PCT	C09C	3/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO00/22051	4/00	PCT	C09C	1/56	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO00/43446	7/00	PCT	C08K	9/06	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO00/52102	10/00	PCT	C09B	67/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO00/68321	11/00	PCT	C09C	3/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO01/25340	4/01	PCT	C09B	67/20	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO01/51566	7/01	PCT	C09C	3/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		WO03/08509	1/03	PCT	C09D	11/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report for PCT/US03/02350, mailed April 22, 2003
	JP11246806 A to Toyo Ink Mfg. Co. Ltd. Publication Date September 14, 1999 Abstract Only (from Patent Abstracts of Japan)
	JP11080636 A to Canon Inc., Publication Date March 26, 1999 Abstract Only (from Patent Abstracts of Japan)
	JP11256066 A to Tokai Carbon Co. Ltd., Publication Date September 21, 1999 Abstract Only (from Patent Abstracts of Japan)
	Handbook of Surfaces and Interfaces of Materials, Vol. 4, 2001, Chapter 7, "Hydrophobically Modified Polyelectrolytes and Polyelectrolyte Block Copolymers", L. Bromberg

EXAMINER

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